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PTO/SB/21 OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

10/590,560 Application Number August 24, 2006 **Filing Date** TRANSMITTAL FORM **Bernard Derek Frutin** First Named Inventor **Group Art Unit** To be Assigned (to be used for all correspondence after initial filing) **Examiner Name** To be Assigned Total Number of Pages in This Submission 8 36290-0428-00-US (230211) Attorney Docket Number

ENCLOSURES (check all that apply)					
☐ Fee Transmittal Form		Assignment Papers	After Allowance Communication		
☐ Fee Attached		(for an Application)	to TC		
☐ Amendment/Reply		☐ Drawing(s) – Figs.	☐ Appeal Communication to  Board of Appeals and		
After Final		☐ Licensing-related Papers	Interferences		
☐ Affidavits/declaratio	n(s)	☐ Petition	☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) ☐ Proprietary Information		
Extension of Time Requ	est	Petition to Convert to a			
Express Abandonment R	Request	Provisional Application			
☐ Information Disclosure Statement		Power of Attorney, Revocation Change of Correspondence Address	☐ Status Letter  ☑ Other Enclosure(s)		
☐ Certified Copy of Priority  Document(s)		☐ Terminal Disclaimer	(please identify below): Submission of International Preliminary Report on Patentability		
		Request for Refund			
Response to Missing Par Incomplete Application		☐ CD, Number of CD(s)			
☐ Response to Missing Parts		☐ Landscape Table on CD			
under 37 CFR 1.52 c	or 1.53	Remarks:			
S	IGNATU	 RE OF APPLICANT, ATTORNEY, O	OR AGENT		
Firm Name		Biddle & Bath LLP			
Signature		fut la			
Printed Name	ł	E. Cannuscio, Reg. No. 36,469			
Date October 10, 2006					
CERTIFICATE OF MAILING UNDER 37 CFR 1.8					
I hereby certify that this paper, along with any documents referred to as being enclosed therewith, is being deposited with the United States Postal Service in an envelope addressed to U.S. Patent Office, Assistant Commissioner for					
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:					
Typed or printed name Laura, M. McNeely					
Signature Laur 11 1/2 Date: October 10, 2006					
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**Attorney Docket No.:** 36290-0428-00-US

(230211)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application of

Bernard Derek Frutin

Group Art Unit:

Appl.No.:

10/590,560

To be Assigned

Filed:

August 24, 2006

Examiner:

For:

Apparatus for Controlling Flow Rate from

a Valve Dispenser

To be Assigned

### SUBMISSION OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed herewith is an International Preliminary Report on Patentability (IPRP) issued in connection with the PCT application related to the present application.

Respectfully submitted,

BERNARD DEREK FRUTIN

BY\_\_

ROBÉRT E. CANNUSCIO Registration No. 36,469

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(215) 988-2757 fax

Attorney for Applicants

# CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, on the date indicated below, as first class mail and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date: Oct. 12 2006

PHIP\527477\1

### PATENT COOPERATION TREATY

PCI/GB2005/000699

From the INTERNATIONAL BUREAU

### PCT

NOTIFICATION CONCERNING
TRANSMITTAL OF COPY OF INTERNATIONAL
PRELIMINARY REPORT ON PATENTABILITY
(CHAPTER I OF THE PATENT COOPERATION
TREATY)

(PCT Rule 44bis.1(c))

To:

MURGITROYD & COMPANY 165-169 Scotland Street Glasgow G5 8PL ROYAUME-UNI

Date of mailing (day/month/year)

08 September 2006 (08.09.2006)

Applicant's or agent's file reference P36563A/NGR/GMU

IMPORTANT NOTICE

International application No. PCT/GB2005/000699

International filing date (day/month/year) 24 February 2005 (24.02.2005)

Priority date (day/month/year) 27 February 2004 (27.02.2004)

Applicant

ROCEP LUSOL HOLDINGS LIMITED et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter I of the Patent Cooperation Treaty)

Authorized officer

### PATENT COOPERATION TREATY

# **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference P36563A/NGR/GMU	FOR FURTHER ACTION	See item 4 below		
International application No. PCT/GB2005/000699	International filing date (day/month/year) 24 February 2005 (24.02.2005)	Priority date (day/month/year) 27 February 2004 (27.02.2004)		
International Patent Classification (8th edition unless older edition indicated) See relevant information in Form PCT/ISA/237				
Applicant ROCEP LUSOL HOLDINGS LIMITED				

	<u></u>			
1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).			
2.	This REPORT consists of a total of 6 sheets, including this cover sheet.			
	In the attached sheets, any reference to the written opinion of the International Searching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.			
3.	This report contains indications re	elating to the following items:		
	Box No. I	Basis of the report		
	Box No. II	Priority		
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability		
	Box No. IV	Lack of unity of invention		
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement		
	Box No. VI	Certain documents cited		
	Box No. VII	Certain defects in the international application		
	Box No. VIII	Certain observations on the international application		
4.	The International Bureau will cornot, except where the applicant m date (Rule 44bis .2).	nmunicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but akes an express request under Article 23(2), before the expiration of 30 months from the priority		

	Date of issuance of this report 30 August 2006 (30.08.2006)	
The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Nora Lindner	
41 22 338 82 70	e-mail: ntf)?@wino int	

Facsimile No. +41 22 338 82 70

## **PATENT COOPERATION TREATY**

From the INTERNATIONAL SEARCHING AUTHO	ORITY			REC'D	1 2 OCT	2005
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		Date of mailing (day/month/year) see	e form PCT/IS	A/210 (se	cond sheet	t)
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Applicant ROCEP LUSOL HOLDINGS LIMIT	FD					
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This opinion contains indication	ons relating to the follo	owina items:				
☐ Box No. I Basis of the opi	-					
☐ Box No. II Priority	inon					
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⊠ Box No. V Reasoned state					trial	
☐ Box No. VI Certain docume	., 0					
☐ Box No. VII Certain defects	in the international appli	ication				
🛭 Box No. VIII Certain observa	• •					
2. FURTHER ACTION						
If a demand for international prelir written opinion of the International the applicant chooses an Authority International Bureau under Rule 6 will not be so considered.	l Preliminary Examining y other than this one to l	Authority ("IPEA"). Ho be the IPEA and the c	owever, this hosen IPEA	does no	t apply wh ifed the	· lere
If this opinion is, as provided abov submit to the IPEA a written reply months from the date of mailing of whichever expires later.	together, where appropr	riate, with amendmen	ts, before th	e expirat	ion of thre	e ate,
For further options, see Form PCT	T/ISA/220.					
3. For further details, see notes to Fo	orm PCT/ISA/220.					
Name and mailing address of the ISA:		Authorized Officer				





# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2005/000699

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_	В	0x l	lo. I Basis of the opinion		
1.	W th	With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.			
		la	his opinion has been established on the basis of a translation from the original language into the following nguage , which is the language of a translation furnished for the purposes of international search under Rules 12.3 and 23.1(b)).		
2.	<ol> <li>With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:</li> </ol>				
	a.	type	e of material:		
			a sequence listing		
			table(s) related to the sequence listing		
	b.	forn	nat of material:		
			in written format		
			in computer readable form		
	C.	time	of filing/furnishing:		
			contained in the international application as filed.		
			filed together with the international application in computer readable form.		
			furnished subsequently to this Authority for the purposes of search.		
3.		ha co	addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto is been filed or furnished, the required statements that the information in the subsequent or additional pies is identical to that in the application as filed or does not go beyond the application as filed, as propriate, were furnished.		
4.	Add	ditio	nal comments:		

### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/GB2005/000699

	Box No. IV Lack of unity of invention
1.	☑ In response to the invitation (Form PCT/ISA/206) to pay additional fees, the applicant has:
	paid additional fees.
	☐ paid additional fees under protest.
	not paid additional fees.
2.	☐ This Authority found that the requirement of unity of invention is not complied with and chose not to invite the applicant to pay additional fees.
3.	This Authority considers that the requirement of unity of invention in accordance with Rule 13.1, 13.2 and 13.3 is
	☐ complied with
	☑ not complied with for the following reasons:
	see separate sheet
4.	Consequently, this report has been established in respect of the following parts of the international application:
	□ all parts.
	☑ the parts relating to claims Nos. 1-20
	Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
1.	Statement
	Novelty (N)  Yes: Claims 13, 18,19  No: Claims 1-12, 14-17, 20
	Inventive step (IS)  Yes: Claims 13  No: Claims 18, 19
	Industrial applicability (IA)  Yes: Claims  No: Claims
2.	Citations and explanations
	see separate sheet
	Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

PCT/GB2005/000699

### Re Item IV

The subject-matter of independent claims 1, 20 and dependent claims 2-12 is already known (see Item V). The requisite unity of invention (Rule 13.1 PCT) therefore no longer exists inasmuch as a technical relationship involving one or more of the same or corresponding special (new and inventive) technical features in the sense of Rule 13.2 PCT does not exist between the subject-matter of the following groups of dependent claims:

- I: Claims 1-13, 20 directed to a valve assembly, dispensing apparatus comprising spacer means in the form of a rotatably mounted collar (figs. 1-7)
- II: Claims 14-19 directed to a valve assembly, dispensing apparatus comprising spacer means which limit the lateral movement (figs. 8-10)
- III: Claims 21-30 directed to a valve assembly, dispensing apparatus comprising spacer means provided on a lever that actuates the valve (figs. 11-18)

#### Re Item V

- 1.) subject-matter I:
- 1.1) The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1-12, 20 is not new in the sense of Article 33(2) PCT. [see US-A-5 971 230 figs. 14-19, 24, 26 and column 11, line 43 to column 14, line 60, in particular valve (5), lever (46) integral with nozzle (41) and mounted to a rotated round hinge (44), variable spacer means (30), valve stem (7), spacer portions (37, 38, 39)]
- 1.2) Prior art does not disclose nor suggest a valve assembly according to claim 13 comprising a collar in the form of a clip having a radial slot.
- 2.) subject-matter II:
- 2.1) The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of claims 1-6, 14-17, 20 is not new in the sense of Article 33(2) PCT.
  - [ see **US-A-3 884 399** figs. 1,4, 8 in particular nozzle (t, ta) serving as a lever, spacer means (1-4), collar (a)]

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/GB2005/000699

2.2) The dependent claims 18, 19 do not contain any additional features which, in combination with the features of any claim to which they refer, involve an inventive step since they come within the scope of the customary practice followed by persons skilled in the art [ see US-A-5 971 230 in particular collar (30) with equivalent recessed portions (figs. 20, 21) or a cam surfaces (figs. 16-19).

Consequently, the subject-matter of claims 18, 19 lacks an inventive step (Rule 65(1)(2) PCT).

### Re Item VIII

- 1.) The independent claims are not in the two-part form in accordance with Rule 6.3(b) PCT, which in the present case would be appropriate, with those features known in combination from the prior art [document US-A-5 971 230 (subject-matter I); US-A-3 884 399 (subject-matter II)] being placed in the preamble (Rule 6.3(b)(I) PCT) and with the remaining features being included in the characterising part (Rule 6.3(b)(ii) PCT).
- 2.) The features of the claims are not provided with reference signs placed in parentheses (Rule 6.2(b) PCT).
- 3.) Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the **documents US-A-5 971 230** (subject-matter I) and **US-A-3 884 399** (subject-matter II) is not mentioned in the description, nor is this document identified therein.